

DOCKET NO. 9551-022 NATL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Harvey POLLARD, et al.

ART UNIT:

SERIAL NO.: 10/560,590

EXAMINER:

FILING DATE: December 13, 2005

FOR: AMPHIPHILIC PYRIDINIUM COMPOUNDS, METHOD OF MAKING AND USE  
THEREOF

**LIST OF PRACTITIONERS TO BE  
RECOGNIZED BY THE OFFICE AS BEING OF RECORD**

COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

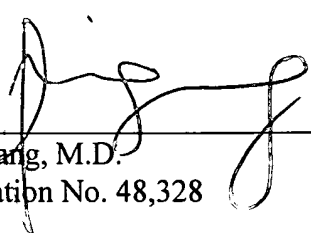
SIR:

Please recognize the following eight (8) practitioners as being of record in the  
above-captioned patent or patent application:

- |                           |                         |
|---------------------------|-------------------------|
| 1) James M. Heintz        | Registration No. 41,828 |
| 2) John K. Pike           | Registration No. 41,253 |
| 3) Ping Wang              | Registration No. 48,328 |
| 4) Linda R. Judge         | Registration No. 42,702 |
| 5) Lisa K. Norton         | Registration No. 44,977 |
| 6) Daryl A. Basham        | Registration No. 45,869 |
| 7) T. Daniel Christenbury | Registration No. 31,750 |
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Respectfully submitted,

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**Declaration, Power of Attorney and Petition**

WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**AMPHIPHILIC PYRIDINIUM COMPOUNDS, METHOD OF MAKING AND USE  
THEREOF**

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the specification of which

☐ is attached hereto.

☒ was filed on December 13, 2005

as Application Serial No. 10/560,590

and amended on \_\_\_\_\_

☒ was filed as PCT international application

Number PCT/US2004/020718

on June 28, 2004

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

| Application No. | Country | Day/Month/Year | Priority Claimed             |                             |
|-----------------|---------|----------------|------------------------------|-----------------------------|
| _____           | _____   | _____          | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| _____           | _____   | _____          | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| _____           | _____   | _____          | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| _____           | _____   | _____          | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

We (I) hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

|                               |                        |
|-------------------------------|------------------------|
| _____<br>(Application Number) | _____<br>(Filing Date) |
| _____<br>(Application Number) | _____<br>(Filing Date) |

We (I) hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| Application Serial No. | Filing Date   | Status (pending, patented, abandoned) |
|------------------------|---------------|---------------------------------------|
| PCT/US2004/020718      | June 28, 2004 | Pending                               |
| _____                  | _____         | _____                                 |
| _____                  | _____         | _____                                 |
| _____                  | _____         | _____                                 |

And we (I) hereby appoint all practitioners associated with **Customer Number 24510** as our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to Patent Group, DLA Piper Rudnick Gray Cary US LLP, 1200 Nineteenth Street, N.W., Washington, D.C. 20036-2412.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Date

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Date

NAME OF THIRD JOINT INVENTOR

Residence:

Signature of Inventor

Citizen of:

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Date